# A Set Refreshing Algorithm for Data Warehouse On-Line Maintenance

LI Zi-mu<sup>1</sup>, LI Lei<sup>2</sup>, ZHOU Xing-ming<sup>3</sup>, WU Jian-ping<sup>1</sup>

<sup>1</sup>(China Education and Research Network Center, Tsinghua University, Beijing 100084, China);

<sup>2</sup>(School of Public Affairs, Tsinghua University, Beijing 100084, China);

<sup>3</sup>(Computer School, National University of Defense Technology, Changsha 410073, China)

E-mail; zmli@263. net; zmli@cernet.edu. cn

http://www.edu.cn

Received May 28, 1999; accepted October 13, 1999

Abstract: In this paper, a Version-control Set Refreshing Algorithm (VSRA) is proposed, which uses incremental maintenance, version-control and batch processing mechanism to guarantee on-line maintenance and data consistency. VSRA not only reduces communication between database and data warehouse, but also promotes the refreshing efficiency of materialized views. Users can perform on-line analytical process at any time and get correct results with VSRA.

Key words; data warehouse; on line maintenance; materialized view; set; version control

On-line maintenance of data warehouse has been attracting more attention recently. Reference [1] gives a three-tier data warehouse architecture as shown in Fig. 1. It has many advantages compared with the existing model showed in Fig. 2<sup>[2]</sup>. However, it often aggravates the traffic between DWbase and data warehouse. We propose a new algorithm called VSRA to solve this problem. It works with the three-tier architecture we proposed, collects data changes in databases and packs them together as a set to send to data warehouse. Data warehouse batch processes this set and applies the result to the materialized view for refreshing.

#### 1 VSRA in Detail

VSRA is made up of three parts: view maintenance, version-control and OLAP query.

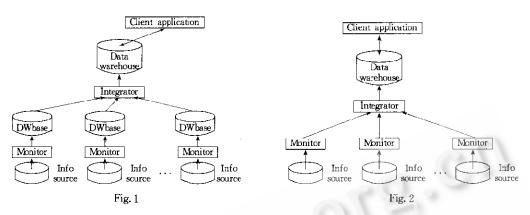
#### 1.1 OLAP query

Definition 1. Message types in OLAP query of VSRA:

olap\_start $(q_i)$ : message sent to data warehouse when query  $q_i$  starts.

olap\_end $(q_i)$ : message for data warehouse when  $q_i$  finishes.

<sup>\*</sup> This project is supported by the Ninth Five-Year Pre-Research Project of China ("九五"国防预研基金). LI Zi-mu was born in 1971. He got Ph. D. degree in National University of Defense Technology in 1999. Now, he works in China Education Research Network Center, Tsinghua University, as a post-doctor. His research interests include advanced computer networking architecture and distributed database. LI Lei was born in 1971. He received Ph. D. degree in National University of Defense Technology in 1999. He is now taking part in post doctor program in School of Public Affairs, Tsinghua University. His current research interests include computer network architecture, E-Government and E-Commerce. ZHOU Xing-ming was born in 1938. He is a member of Chinese Academy of Sciences. His research interests include advance computer architecture, distributed and parallel database system. WU Jian-ping was born in 1953. He is a professor of Tsinghua University. His current research interests are advanced computer networking architecture, network management and network security.



dw\_olap $(q_i^*)$ : message from data warehouse to DWbase where  $q_i^*$  is the drill-down part of  $q_i$ .

dwb\_olap $(a_i^*)$ : message from DWbase to data warehouse where  $a_i^*$  is the answer to  $q_i^*$ .

svs\_VA: message of version advancing for data warehouse and DWbase.

#### ◀At data warehouse

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Initialize; UQS←Ø;
                                //UQS is the abbreviation of Unanswered Query Set
        receive olap_start (q_i)
        UQS\leftarrow UQS+\langle q_i\rangle;
        if q_i needs drill-down then {decompose the drill-down part q_i^* from q_i;
                                     send dw_olap(q_i^*) to DWbase:
                               repeat \{\} until receive dwb_-olap(a_i^*);\}
        execute q_i in data warehouse;
        send olap_end(qi) to data warehouse;
      - receive dwb_olap(a,*)
        commit a_i^* to the transaction started q_i;
      -receive olap_end(q_i) UQS-UQS-\{q_i\}_i
        if UQS= Ø then {send sys_VA to DWbase and data warehouse;}
◀at DWbase
     -receive dw_olap(q<sub>i</sub>*)
       calculate q_i^* with R_k^0 where R_k^0 is the tables of version 0 related with q_i^*;
       a_i^* answer of q_i^*;
       send dwb_olap(a,") to data warehouse;
```

### 1.2 View maintenance

**Definition 2.** For tables  $R_1, R_2, \ldots, R_n$  in database and materialized view  $V = Q(R_1, R_2, \ldots, R_n)$  in data warehouse where Q is a query of PSJ, there is a directed graph G in which  $V, R_1, R_2, \ldots, R_n$  are nodes and  $R_1, R_2, \ldots, R_n$  points to V by an arrow-line.

**Definition 3.** In directed graph G, if  $R_i$  points to V then  $R_i$  is the parent of V and is represented as  $R_i = P(V)$ .

**Definition 4.**  $\Delta R_i^m$  is the changing set of table  $R_i$  of version m.  $U_i$  is the record of insertion/deletion of  $R_i$  in database. Key  $(U_i)$  is the attribute reflected in V according to its definition.

Definition 5. Message types and global variant in view maintenance of VSRA:

VN: the maximum version number in DWbase.

db\_up( $U_i$ ); data changing message about  $R_i$  from Monitor to DWbase. DWbase will change  $U_i$  to its own format  $u_i$  when it receives this message.

dwb\_rfsh( $\Delta V$ ); view refreshing message from DWbase to data warehouse where  $\Delta V$  is the part which should be applied to V.

sys\_delta; message for stopping update  $\Delta R_i^l$  from system. The system sends this message when VN=1.

The algorithm first calculates  $\Delta V$  based on  $R_i^0$ ,  $R_i^1$ ,  $\Delta R_i^1$  and then sends  $\Delta V$  to data warehouse. Data warehouse applies  $\Delta V$  to the corresponding materialized view. In the algorithm,  $\eta(Q,R_i) = Q(R_1^1,\ldots,R_{i-1}^1,\ldots,\Delta R_i^1,R_{i+1}^0,\ldots,R_i^0)$ .

#### **◀at DWbase**

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initialize: \Delta R^1 \leftarrow \emptyset \ VN \leftarrow 1:
          -\text{receive db}_-\text{up}(U_i)
             if VN=2 then \{R_i^2 \leftarrow R_i^2 \biguplus u_i\} //if R_i^2 exists, then update R_i^2 and record \Delta R_i^2 \leftarrow \Delta R_i^2 \biguplus u_i\}
                                                             //u_i in \Delta R_i^2
                                                            //\text{update } R! because VN=1
             else \{R_i^1 \leftarrow R_i^1 \biguplus u_i\}
                      \Delta R_i^1 \leftarrow \Delta R_i^1 \biguplus u_{i+1}
                                                                  //record u_i in \Delta R_i^1
            receive sys_delta
             R_i^2 \leftarrow R_i^1; \Delta R_i^2 \leftarrow \emptyset; VN \leftarrow 2; \Delta V \leftarrow \emptyset;
              while R = P(V) do
                 (for \forall U_i, U_k \in \Delta R_i^i, if U_i is a insertion and U_k is a deletion and j < k, \ker(U_j) = \ker(U_k) then
                   delete u_i and u_i from \Delta R^1:
                                                                           //\text{make }\Delta R_{i}^{1} minimum
                     \Delta V \leftarrow \Delta V \biguplus \eta(Q,R_i);  }
             until V has found all of its parents;
                     send dwb rfsh(\Delta V) to data warehouse:

◀at data warehouse

         -receive dush_r f_{sh}(\Delta V)
                V^1 \leftarrow V^0 \biguplus \Delta V:
```

## 1.3 Version advance

VSRA uses version control to guarantee data consistency between view maintenance and query. Version will advance when  $V^1$  has been created and queries on  $V^0$  are all finished. Following is the version advancing part of VSRA:

repeat { } until UQS=Ø:

send sys\_VA:

#### 2 Extension of VSRA

VSRA improves the efficiency of data warehouse's on-line maintenance. But it may cause version advancing to be "starved". If the queries' time is too long and other queries started during this time, version cannot

advance until all of these queries finish. If this situation occurs circularly, version advancing will be "starved". We extend VSRA to three-version to solve this problem. In DWbase,  $R_i^1$  is consistent with  $V^1$ ,  $R_i^2$  is the result of  $R_i^1$  and  $\Delta R_i^2$ . So we create version  $V^2$  in data warehouse when version advances after all queries based on  $V^0$  finished.  $V^2$  is the result of  $V^1$  and  $\Delta R_i^2$  and it is consistent with  $R_i^2$ . Three-version VSRA not only has all advantages of the two-version VSRA, but also does not need to extend to n version<sup>[3]</sup> to eliminate transaction restart and "starvation" in version advancing.

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# 一种数据仓库联机维护的集合刷新算法

李子木1, 李 磊2, 周兴铭3, 吴建平1

1(清华大学 中国教育和科研计算机网络中心,北京 100084)

2(清华大学 公共管理学院,北京 100084)

3(国防科学技术大学 计算机学院,湖南 长沙 410073)

摘要:提出了一种版本控制集合刷新算法(VSRA)。它采用增量维护、版本控制和批处理机制保证数据仓库的联机维护和数据一致性、VSRA不仅减少了数据库和数据仓库之间的通信流量,而且提高了实体化视图的刷新效率,用户可以随时使用 VSRA 进行联机分析处理,并能得到正确的结果.

关键词:数据仓库;联机维护;实体化视图;集合;版本控制

中图法分类号: TP311 文献标识码: A